



SUBSCRIPTION REDEMPTION FORM INVESTMENT FUND

To:

(
Zheshang International Financial Holdings Co., Limited

or

Client Details:
Client name _____ Client number _____

Transaction Details
/
I/We confirm and acknowledge the related offering documents have been provided: Fund Prospectus/Explanatory Memorandum Product Key facts Statements Fund Annual/Semi - Annual Reports Others (please specify) _____
/
I/We _____ offering documents from Zheshang INTL or its employee or consultant.

(/) Order Details (Subscription Order/ Redemption Order)
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Subscription Order						
Please apply on behalf of the above account to the relevant fund house(s) to subscribe for:						
Item	Name of Fund	Subscription Amount		Product Risk Level	() Classified as Financial Derivatives or Funds Employing (may be Employing) Financial Derivatives Extensively for Investment Purpose	Subscription Fee (%)
1		HKD	_____		Yes No	
		RMB	_____			
		USD	_____			
		Other	_____			
		(please specify)				
2		HKD	_____		Yes No	
		RMB	_____			
		USD	_____			
		Other	_____			
		(please specify)				

Redemption Order		
of the above account to the relevant fund house(s):		
	Redemption Amount / / / ()*	Redemption Unit
	HKD/USD/CNY/Other (please specify)*	

* Please delete whichever is inapplicable

- Redemption amount must be equal to or larger than the minimum redemption amount as set out in the relevant Supplement of the Fund.
- The remainder amount after redemption of the relevant Fund must be equal or larger than the minimum investment amount as set out in the relevant Supplement of the Fund.



Risk And Product Matching

My/Our risk aptitude established under the Risk Profiling Questionnaire and assessment on derivatives knowledge established under the Assessment on Knowledge of Derivatives Questionnaire are:

Risk Aptitude:	Conservative	Moderate	Aggressive
Knowledge on Derivatives:	With Knowledge	Without Knowledge	

Concentration Risk (/ Percentage of the Subscription Amount/Net Asset Value)

Below 5% / >50%



Non explicit remuneration

Distributor and/or any of its associates is entitled to receive non explicit monetary benefits from the origination and distribution of the Fund which is issued by it or any of its associates

Monetary benefits received are not quantifiable

Distributor and/or any of its associates is entitled to receive commission or other fees from the Product Issuer for the distribution of the Fund.

Non-monetary benefits

Distributor and/or any of its associates is entitled to receive non-monetary benefits from a Product Issuer for distribution of the Fund.

Please specify: _____

3. Discount of Fees and Charges

Distributor may offer a discount on fees and charges to applicants for fund subscription from time to time in accordance with the terms and conditions in the applicable promotion material and the subscription amount.

4. The Company is NOT an independent intermediary because:

1. ()

The Company receives fees, commissions, or other monetary benefits from other parties (which may include product issuers) in relation to the product the Company distributes. Details are provided by the Company in the disclosure on monetary benefit; and/or

2. /

The Company receives non-monetary benefits from other parties in relation to the distribution of products, or the Company has close links or other legal or economic relationships with issuers of the products that the Company distributes.

3. ()

The Company Distributor of the Fund, may receive distribution fee from the subscription amount. The Company and its related companies may act as fund managers, co fund managers, investment advisor, or providing brokerage services to the Fund and receive benefits from the Fund. I/We shall attend to observe the conflict of interest that may arise. Any other unquantified monetary benefits and/or non-explicit remuneration (if any), will be disclosed in the fund's annual report upon confirmation.

Declaration

1. []

I/We confirm that the Risk Disclosure Statement in the language of my/our choice (English or Chinese) and the related offering documents (some of which are in English ONLY) have been provided; and I/we have been invited to read such offering documents and Risk Disclosure Statement, to ask questions and to seek independent advice if I/we wish. I/We also confirm that I/we have been given sufficient time to digest, consider and evaluate the information and recommendations provided by the Company. (if applicable)

2. / () ()

I/We have read all related offering documents, including but not limited to, the relevant prospectus and/or its summary and annual report (if applicable), the relevant Product Key Facts Statements (if applicable), and understand and agree to the terms set out in such offering documents. I/We am/are fully aware of and understand the terms set out in the offering documents, including but not limited to the risks of investing in the Fund and the Disclosure of Product Sales Information. I/We fully understand the product structure,



3. / / (/ /) / / /

I/we confirm this fund/transaction is suitable for me/us and agree to buy/sell this fund considering my/our circumstances (including but not limited to financial situation, investment objective, investment experience, and fund risk rating, fund features and the required derivative knowledge and etc.) and I/we execute this transaction at my/our own risks.

4. / /

I/We understand that Zheshang INTL is in the capacity mentioned above (under Disclosure of Transaction Related Information) in the transaction and Zheshang INTL and its associates may receive benefit as specified above (under Disclosure of Transaction Related Information) in the trans action and/or distribution of the product.



13. / / ()

I/We confirm that I/we are first time buyer of fund (Not Applicable to Sell Order):

Yes

No

Note: In case of inconsistencies between the English and Chinese version of this subscription form, the English version shall always prevail.

Client Signature

Date

Product Risk Level	Reference Investment Strategy
Low Risk	/ The Investment Product employed conservative investment strategy(ies) and mainly invested in the market(s)/asset class(es) that expected stable and with low potential growth, but not necessarily principle protected. E.g. Guarantee Fund, Money Market Fund.
Medium Low Risk	/ The Investment Product employed relatively conservative investment strategy(ies) and mainly invested in the market(s)/asset class(es) that expected quite stable and with moderate potential growth. E.g. Developed Market Bond, Investment Grade Bond.
Medium Risk	/ The Investment Product employed moderate investment strategy(ies) and mainly invested in the market(s)/asset class(es) that expected moderate volatile and with moderate potential growth. E.g. Global Bond, Developed Market Balanced Fund.
Medium High Risk	/ The Investment Product employed aggressive strategy(ies) and mainly invested in the market(s)/asset class(es) that expected volatile and with substantial potential growth, but the loss thereof may also be substantial. E.g. Emerging Market Bond, Non-investment Grade Bond, Developing Market Balanced Fund, Single Developed Market Equities, Developed Area Market Equities, Global Equities.
High Risk	/ The Investment Product employed very aggressive strategy(ies) and mainly invested in the market(s)/asset class(es) that expected highly volatile and with substantial potential growth, but the loss thereof may also be very substantial. E.g. Emerging Market Equities, Single Developing Market Equities, Single Industry Equities and Derivatives.



Declaration by Licensed Person

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I confirm that the client(s) have received the related offering documents and other related sales information in relation to the above transaction and the Risk Disclosure Statement in English or Chinese as preferred by the client(s) and explained the relevant documents to the client(s). I also verbally invited the client(s) to read the same and to ask questions about the content of such information and, if needed, seek independent advice.

Face to Face

By Phone

(Time : _____)

Signature of Licensed Person

Date

Name of Licensed Person

CE No.

For Internal Use Only

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